APPENDIX D
Bid and Contract Documents for Administration and Professional Services*
Contracts

- Step by Step - Solicitation and Selection Process
- Sample Selection Review Committee Appointment
- RFP Samples - Cover Letter
- Samples - Newspaper Advertisement
- Sample RFP for Administration/Professional Services
- Sample Administration/Professional Services Contract
- Conflict of Interest Questionnaire – Form CIQ (Texas Ethics Commission)
- Sample Administration/Professional Services Rating Sheets (2)

All contractors, service providers, including Councils of Government (COGs) and subcontractors, must have their eligibility status verified (not suspended or debarred) in the System for Award Management (www.SAM.gov) prior to any formal action authorizing the award of a contract to the contractor (examples of formal action include but are not limited to, authorizing resolution, authorizing ordinance, Council/Commissioners Court approval of award, contract execution, etc.). See Chapter 5 for more information.

See Chapter 5 regarding procurement of Administration Services – 2 options

1) TDA Pre-Qualification process ($50,000.00 or less) – This is a two-phased procurement process to preapprove a pool of grant administrators. Communities that do not use this method will need documented justification.

2) Competitive Proposals (Traditional procurement method) – Grant applicant must use this procurement method if administration services is expected to exceed $50,000.00.

*Appendix D does not apply to the professional services of Engineering/Architecture/Land Surveying. For bid and contract documents for Engineering/Architecture/Land Surveying, see Appendix E.
Summary of Solicitation and Selection Process for Administration Services

Step 1. Establish or appoint a local Selection Review Committee
Step 2. Determine the Scope of Services
Step 3. Determine the Written Selection Criteria to Evaluate Respondents
Step 4. Develop the Request for Proposals (RFP) Package
Step 5. Advertise the RFP
Step 6. Send RFP to at least Five (5) Individuals / Firms
Step 7. Evaluate and Rate Proposals
Step 8. Select Respondent
Step 9. Clear Respondent for Federal Requirements
Step 10. Approve the Selected Respondent and Award Contract
Step 11. Submit the Financial Interest Report Form 503

Note: A contractor that intends to respond to the Request for Proposals may provide information on TxCDBG procurement procedures, but may NOT participate in the development or drafting of specifications, requirements, statements of work, or invitations for bids or requests for proposals, including, but not limited to, the development of the scoring criteria, the final selection of firms to be contacted, or the scoring of proposals. (See 2 CFR 200.319(a))
Step-by-Step - Selection of Administration/Professional Services

For the professional services of engineering/architectural/land surveying, see Appendix E.

If CDBG or matching funds will be used to wholly or partially pay for administrative/professional services, then the services must be competitively procured in accordance with CDBG guidelines. Engineering firms may qualify to administer a TxCDBG contract; however, the same firm, or any principal or employee thereof, may not perform both engineering and administration on the same TxCDBG contract, regardless of the source of payment.

Step 1. Establish or appoint a local Selection Review Committee

The City/County should establish a Selection Review Committee to determine the criteria to select and rate competing Respondents. The committee must include at least one local elected official (such as the mayor or county judge), a member of the elected governing body, or a city/county employee with authority to act on behalf of the local elected official in this capacity. The committee may consist of the entire local governing body; a subset of the governing body; other officers or employees of the locality; employees or officers of third-party public utilities served through the project; or other relevant persons.

Committee members may not have any real or potential conflicts of interest with any of the individuals, firms, or agencies under review (e.g., family relationships, close friendships, business dealings), and no person who might potentially receive benefits from CDBG-assisted activities may participate in the selection, award, or administration of a contract supported by CDBG funding if he or she has a real or apparent conflict of interest.

Step 2. Determine the Scope of Services

Determine the scope of services needed to successfully administer the TxCDBG contract. The scope of work should itemize the tasks needed, with timeframes and achievable goals.

[Sample scopes of work can be found in the sample RFP in this Appendix.]

Step 3. Determine the Written Selection Criteria to Evaluate Respondents

Develop written weighted criteria that will be used to evaluate proposals and determine the contract selection award based on the proposed CDBG project. Cost must be included in the evaluation and selection criteria. For examples, see Sample 1 or Sample 2 - Administration/Professional Services Rating Sheet in this Appendix.

The local governing body, which has final authority to approve all administration/professional services contracts, should be closely involved in the establishment of these written selection criteria.

Step 4. Develop the Request for Proposals (RFP) Package

Develop a RFP package to issue to potential respondents. The RFP package must contain the following three parts. See Sample RFP in this Appendix.
Step-by-Step - Selection of Administration/Professional Services

- **Cover Letter**
- **Request for Proposals (RFP)**
  - The invitation, including specifications and attachments, must clearly describe the scope of services required and identify the factors that will be used to determine the contract selection award.
  - The RFP should include the Sample Administration Services Rating Sheet or similar rating sheet with weighted criteria.
  - It is possible to solicit and procure for application preparation services and administration services in one step. The RFP must clearly indicate that bids are being solicited for both application preparation and administration services, and must clearly separate the scope and cost of the services associated with preparing an application and the scope and cost associated with administration of the grant. Costs associated with application preparation services are NOT eligible costs under the TxCDBG program.
  - Proposed costs must be considered in the RFP process.
    - The RFP should request that the proposal include the following:
      - Statement of Qualifications
      - Proposed cost of services/fee structure;
      - Related experience with federal programs;
      - Capacity to perform the specific proposed task;
      - Experience in developing and/or implementing civil rights/equal opportunity/fair housing activities; and
      - References – list of past/current clients

- **Conflict of Interest Questionnaire – Form CIQ (Texas Ethics Commission)**
- **Sample Contract**

**Step 5. Advertise the RFP**

Advertise RFP in a locally distributed newspaper. This may be a paper published in the community, or a regional paper that is available locally. Retain proof of advertisement.

The proposal deadline must be no less than 10 (ten) days after the publication of the advertisement and contact date of the RFP.

The City/County may send a copy of its bid advertisement of the request for proposal to MWBE@texasagriculture.gov to satisfy the MBE/SBE/WBE requirement of promoting small, minority and female owned businesses.

See Sample of the bid advertisement in this Appendix.

**Step 6. Send RFP to at least Five (5) Individuals / Firms**

Prepare a list of potential TDA certified administration/professional services firms/individuals

- Use TDA’s website or the Texas Comptroller of Public Accounts Historically Underutilized Business (HUB) Directory.
- Include MBE/SBE/WBE and/or Section 3 individuals/firms in the listing.
Send the RFP package to a **minimum of five individuals/firms** by email, fax, and/or return receipt mail.

- Document reasons for selecting such individuals/firms for local files.
- Document evidence of contacting five (5) individuals/ firms.
  - If email/fax, print verifiable evidence that email/fax sent.
  - If mail, send return receipt.

The proposal submission deadline must allow at least 10 days after the RFP was sent to these individuals/firms.

**Step 7. Evaluate and Rate Proposals**

After the proposal submission deadline, review each proposal received by the deadline and evaluate the Respondent's experience, work performance, and capacity to perform either:

- By using prior experience with Respondent(s); and/or
- By contacting all references for the Respondent.

Apply the established evaluation criteria to score each Respondent. Document ratings.

**Step 8. Select Respondent**

Determine the most responsive and responsible respondent whose proposal is most advantageous to the program, with price and other factors considered.

As necessary, the City/County may conduct negotiations with those offerors who are deemed responsive and responsible and fall within a competitive price range, based on the City/County’s evaluation of bidders’ pricing and technical proposals. After negotiations, these bidders may be given the opportunity to submit a best and final offer.

**Step 9. Clear Respondent for Federal Requirements**

**SAM Clearance**

- Prior to awarding the contract, check the Respondent’s status in the System for Award Management (SAM) to verify the Respondent is eligible to participate in the contract if awarded (is not suspended or debarred). ([www.SAM.gov](http://www.SAM.gov))

**Environmental Exemption Determination**

- For planning services and environmental exemption guidance, please refer to Chapter 3 of this Manual.

**Step 10. Approve the Selected Respondent and Award Contract**

Prepare contract for execution by all parties. (See Sample in this Appendix)
Step-by-Step - Selection of Administration/Professional Services

Present selection to the City Council/Commissioner's Court.

City Council/County Commissioner's Court approves selection of Administration firm/individual.
- The local governing body has the final authority to award contracts but may select another Respondent if the minutes of the local governing body meeting include justification for the selection.

City council/county commissioner's court approves and executes contract.
- The award and the execution of the contract may/may not occur at the same meeting.

NOTE: TxCDBG funds and matching funds may not be used to pay/reimburse for services dated prior to the date of the TxCDBG grant contract with the exception of eligible pre-award costs/activities approved by TDA through the pre-agreement stratagem. See Chapter 1, Section 1.1.1 Pre-Agreement, regarding the timeframe in which costs are eligible under the pre-agreement stratagem. If an administration services or professional services contractor is preselected and a contract is entered into prior to grant award, the contract should include a statement which states that in the event TxCDBG funds are not awarded to [City/County], the contract shall be terminated by [City/County].

Step 11. Submit the Financial Interest Report Form 503

Submit the Financial Interest Report (Form A503) to TDA within 30 days of executing the services contract or within 30 days of grant award, whichever occurs last. This requirement applies to all subcontractors as well.

Note: For Monitoring purposes:

The City/County must maintain and make available all documentation utilized during the RFP process, including but not limited to:
- Proof of advertisement (tear sheet/full-page advertisement/photo copy with publisher’s identification and date/publisher’s affidavit)
- Proof that 5 or more Firms/Individuals were contacted for proposals
- A complete RFP packet: Cover letter, request for proposal, rating sheet (if utilized) and a sample contract
- Proof that all proposals were received by the City/County, with note or stamped date/time received
- Verification that the Firm and Principals of Firm are not on the SAM.gov debarred list (printout of SAM.gov page with date)
- Meeting Minutes documenting the award
- An Executed Contract
- ***If planning project, see Ch. 3 for environmental exemption documentation
SAMPLE Selection Review Committee Appointment

The following persons have been appointed to:

- determine the criteria to select administration service providers; and
- review and rate proposals from administration service providers.

<table>
<thead>
<tr>
<th>Name</th>
<th>Title/Office/Position</th>
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<tbody>
<tr>
<td>1.</td>
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<td>2.</td>
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<td>3.</td>
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<td>4.</td>
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Appointed by:__________________________ Date:__________
SAMPLE 1 - Request for Proposal (RFP) for Administration/Professional Services - Cover Letter
For Grant Contract Implementation Only

Date

Addressee
(to be sent to 5 or more firms)

Re: TxCDBG Contract No. _________________________

Dear __________________________:

Attached is a copy of the City's/County's Request for Proposals for (name type of work e.g. administrative services) under its Texas Community Development Block Grant contract with the Texas Department of Agriculture (TDA) to carry out (e.g. water system improvements) ______ in the City/County of _______. The submission requirements for this proposal are also included on the attached Request for Proposal (RFP) form. Firms and/or individuals should have past experience with federally funded programs. Please submit a proposal of services and statement of qualifications to:

Insert City/County Contact Person
Insert City/County Mailing Address

The deadline for submission of proposals is (Date & Time - at least ten days from mailing/emailing). The City/County of _______ reserves the right to negotiate with any and all persons or firms submitting proposals, per the Texas Professional Services Procurement Act and the Uniform Grant and Contract Management Standards.

The City/County of _______ is an Affirmative Action/Equal Opportunity Employer.

Sincerely,

____________________
Mayor/County Judge
SAMPLE 2 - Request for Proposal (RFP) for Administration/Professional Services - Cover Letter

For Application Preparation and Grant Contract Implementation Services

Date

Addressee
(to be sent to 5 or more firms)

Re: Proposed Contract Funding for the ___________ (specify year(s) and program fund(s) e.g. 2017-2018 Texas Community Development Fund)

Dear __________________________:

Attached is a copy of the City/County of ________’s Request for Proposals for ___________ (name type of work e.g. grant writing and administrative services). These services are being solicited to assist the City/County of ___________ in its application and administration of a contract, if awarded, from the ___________ (specify year(s) and program fund(s) e.g. 2017-2018 Texas Community Development Fund) of the Texas Community Development Block Grant Program of the Texas Department of Agriculture (TDA). The City/County of ___________ will be applying for such funding to support the following _____________________ (eligible activities e.g. water system improvements - if known) in the City/County of ____________.

The submission requirements for this proposal are also included on the attached Request for Qualifications (RFQ) form. Firms and/or individuals should have past experience with federally funded programs. Please submit a proposal of services and statement of qualifications to:

Insert City/County Contact Person
Insert City/County Mailing Address

The deadline for submission of proposals is _____________ (Date & Time - at least ten days from mailing/emailing). The City/County of _____________ reserves the right to negotiate with any and all persons or firms submitting proposals, per the Texas Professional Services Procurement Act and the Uniform Grant and Contract Management Standards.

The City/County of ________ is an Affirmative Action/Equal Opportunity Employer.

Sincerely,

____________________
Mayor/County Judge
Sample 1 - Newspaper Advertisement

For Grant Contract Implementation Only

(DATE)

GRANT (ADMINISTRATION/PROFESSIONAL SERVICES)

PUBLIC NOTICE

The City/County of ______________ has recently received a Texas Community Development Block Grant award from the Texas Department of Agriculture (TDA) for _____________(e.g. water system improvements). Accordingly, the City/County is seeking to contract with a qualified Professional Administrator or Service Provider (individual/firm) to administer the contract.

Please submit your proposal of services and a statement of qualifications for the proposed services to the address below:

Insert City/County contact person
Insert City/County full address

Proposals shall be received by the City/County no later than _____ am/pm on the _____________ (Date - which must be at least ten (10) days after this publication and contact dates of the RFP). The City/County reserves the right to negotiate with any and all individuals or firms that submit proposals, as per the Texas Professional Services Procurement Act and the Uniform Grant and Contract Management Standards. Section 3 Residents and Business Concerns, Minority Business Enterprises, Small Business Enterprises and Women Business Enterprises are encouraged to submit proposals.

The City/County of ________ is an Affirmative Action/Equal Opportunity Employer.

[NOTE TO GRANT RECIPIENT: The proposal deadline must be no less than 10 (ten) days after the publication of this advertisement and the contact dates of the RFP.]
Sample 2 - Newspaper Advertisement

For Application Preparation and Grant Contract Implementation Services

(DATE)

ADMINISTRATION/PROFESSIONAL SERVICES

PUBLIC NOTICE

The City/County of ______________ plans to apply for the upcoming ______________ (e.g. specify years and program fund(s) e.g. 2017-2018 Texas Community Development Fund) from the Texas Community Development Block Grant (TxCDBG) Program of the Texas Department of Agriculture (TDA). These services are being solicited to assist the City/County in its application and administration of the TxCDBG contract, if awarded, to support the following ______________ (include eligible activities e.g. water system improvements - if known) in the City/County of ____________. Accordingly, the City/County is seeking to contract with a qualified Professional Administrator or Service Provider (individual/firm) to prepare the application and/or administer the awarded contract.

Please submit your proposal of services and a statement of qualifications for the proposed services to the address below:

*Insert City/County contact person*
*Insert City/County full address*

Proposals shall be received by the City/County no later than _____ am/pm on the ___ (Date ___ ) - which must be at least ten (10) days after this publication and contact dates of the RFP). The City/County reserves the right to negotiate with any and all individuals or firms that submit proposals, as per the Texas Professional Services Procurement Act and the Uniform Grant and Contract Management Standards. Section 3 Residents and Business Concerns, Minority Business Enterprises, Small Business Enterprises and Women Business Enterprises are encouraged to submit proposals.

The City/County of ______ is an Affirmative Action/Equal Opportunity Employer.

[NOTE TO GRANT RECIPIENT: The proposal deadline must be no less than 10 (ten) days after the publication of this advertisement and the contact dates of the RFP.]
SAMPLE 3 - NEWSPAPER ADVERTISEMENT

COMBINED
PROCUREMENT OF ENGINEERING & ADMINISTRATION/PROFESSIONAL SERVICES
For Application Preparation and Grant Contract Implementation Services

PUBLIC NOTICE

The City/County of _____________ seeks to develop an application to the Texas Department of Agriculture for the 2017/2018 Community Development Fund for eligible activities associated to the Texas Community Development Block Grant Program. Accordingly, the City/County is separately soliciting (A) proposals from Administrative Consultants for Application Preparation and Project Administration and (B) qualifications from Texas-Registered Engineers to provide engineering services associated to Application Preparation and Project Implementation. Firms and/or individuals should have past experience with federally funded programs. Please submit a proposal of services and/or a statement of qualifications to:

Insert City/County contact person
Insert City/County full address

Submittals for these services shall be the City/County no later than _____ am/pm on _____ (Date), which is at least ten (10) days after this publication and contact dates of RFP/Q. The same firm will not be awarded contracts to provide both services. The City/County reserves the right to negotiate with any and all individuals or firms that submit proposals, as per the Texas Professional Services Procurement Act and the Uniform Grant and Contract Management Standards. Section 3 Residents and Business Concerns, Minority Business Enterprises, Small Business Enterprises and Women Business Enterprises are encouraged to submit proposals.

The City/County of ________________ is an Affirmative Action/Equal Opportunity Employer

[NOTE TO GRANT RECIPIENT: The proposal deadline must be no less than 10 (ten) days after the publication of this advertisement and the contact dates of the RFP/RFQ.]
For Grant Contract Implementation Only

The City/County of _____ is seeking to enter into a services contract with a competent administration/management firm/professional service provider to assist the City/County in the overall management of its recent Texas Community Development Block Grant (TxCDBG) award from the Texas Department of Agriculture (TDA) for a (TYPE OF PROJECT) project. The following outlines the request for proposals.

I. **Scope of Work** - The professional administration/management firm/consultant to be hired is to provide contract-related management services to the City/County of _______, including but not limited to the following areas:

• Project Management
• Financial Management
• Environmental Review
• Real Property Acquisition/ URA
• Construction Management
• Fair Housing/Equal Opportunity
• Housing rehabilitation/affordable housing (if applicable)
• Audit/Contract Close-out Assistance

Please specify actual tasks to be performed under each of these categories. A copy of the project description for (TYPE OF PROJECT) is attached.

II. **Statement of Qualifications** - The City/County is seeking to contract with a competent professional administration/management firm/consultant that has had experience in grants/contracts administration. Specifically, it is seeking those consultant(s) or firm(s) with the following qualifications:

• Related experience in managing federally-funded local public works construction projects
• Related Experience / Background with specific project type
• Certified Administrator of TxCDBG Program by TDA
• Consultant/Firm is not debarred or suspended from the Excluded Parties List System (EPLS) in the System for Award Management (SAM) www.Sam.gov.

As such, please provide within your proposal a list of referrals from past local government clients, as well as resumes of all employees who will or may be assigned to provide technical assistance to the city/county on this project if your firm is awarded this management services contract.

III. **Proposed Cost of Services** - Please provide your cost proposal to accomplish the scope of work by category outlined above and for any additional activities required. The proposed budget must include all costs that are necessary to successfully complete this project. Please note that the City/County of ______________________ will not use lowest/best bid as the sole basis for entering into this contract.

IV. **Evaluation Criteria** - The proposal received will be evaluated and ranked according to the following criteria:

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Maximum Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>Experience</td>
<td>30</td>
</tr>
<tr>
<td>Work Performance</td>
<td>30</td>
</tr>
<tr>
<td>Capacity to Perform</td>
<td>20</td>
</tr>
<tr>
<td>Proposed Cost</td>
<td>20</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>100</strong></td>
</tr>
</tbody>
</table>

V. **Deadline for Submission** – Proposals must be received no later than (Date – date should match submission deadline in letter above) at the following address: ______________________________.
DISCLAIMER: This sample draft document was developed for TxCDBG grant projects and does not include all applicable provisions. This document has important legal consequences. Please consult with your legal counsel with respect to its completion or modification to insure that it is in compliance with any appropriate local, state and federal laws applicable.

Sample Contract
ADMINISTRATION/PROFESSIONAL SERVICES

PART I
AGREEMENT

THIS AGREEMENT, entered into this _____ day of __________________, by and between the CITY/COUNTY OF __________________________, hereinafter called the "City"/"County", acting herein by _______________________ hereunto duly authorized, and _______________________________ hereinafter called "the Contractor", acting herein by ______________________________.

WITNESSETH THAT:
WHEREAS, the City/County of _______________________ desires to [implement/construct/etc.] the following: _________________________________ under the general direction of the Texas Community Development Block Grant (hereinafter called "TxCDBG") Program administered by the Texas Department of Agriculture; and Whereas the City/County desires to engage_____________________________ to render certain [professional /administration] services in connection with this TxCDBG Project, Contract Number ______________.

NOW THEREFORE, the parties do mutually agree as follows:
1. Scope of Services
   The Contractor will perform the services set out in Part II, Scope of Services.
2. Time of Performance - The services of the Contractor shall commence on _________________. In any event, all of the services required and performed hereunder shall be completed no later than _________________.
3. Local Program Liaison - For purposes of this Contract, the [e.g. City Manager/County _______] or equivalent authorized person will serve as the Local Program Liaison and primary point of contact for the Contractor. All required progress reports and communication regarding the project shall be directed to this liaison and other local personnel as appropriate.
4. Access to Records - The U.S. Department of Housing and Urban Development (HUD), Inspectors General, the Comptroller General of the United States, the Texas Department of Agriculture (TDA), and the City/County, or any of their authorized representatives, shall have access to any documents, papers, or other records of the Contractor which are pertinent to the TxCDBG award, in order to make audits, examinations, excerpts, and transcripts, and to closeout the City/County's TxCDBG contract with TDA.
5. Retention of Records - The Contractor shall retain all required records for three years after the City/County makes its final payment and all pending matters are closed.
6. Compensation and Method of Payment - The maximum amount of compensation and reimbursement to be paid hereunder shall not exceed $_______________. Payment to the Contractor shall be based on satisfactory completion of identified milestones in Part III - Payment Schedule of this Agreement.
7. **Indemnification** – The Contractor shall comply with the requirements of all applicable laws, rules and regulations, and shall exonerate, indemnify, and hold harmless the City/County and its agency members from and against any and all claims, costs, suits, and damages, including attorneys’ fees, arising out of the Contractor’s performance or nonperformance of the activities, services or subject matter called for in this agreement or in connection with the management and administration of the TxCDBG contract, and shall assume full responsibility for payments of Federal, State and local taxes on contributions imposed or required under the Social Security, worker’s compensation and income tax laws.

8. **Miscellaneous Provisions**
   a. This Agreement shall be construed under and in accord with the laws of the State of Texas, and all obligations of the parties created hereunder are performable in ___________ County, Texas.
   b. This Agreement shall be binding upon and inure to the benefit of the parties hereto and their respective heirs, executors, administrators, legal representatives, successors and assigns where permitted by this Agreement.
   c. In any case one or more of the provisions contained in this Agreement shall for any reason be held to be invalid, illegal or unenforceable in any respect, such invalidity, illegality, or unenforceability shall not affect any other provision thereof and this Agreement shall be construed as if such invalid, illegal, or unenforceable provision had never been contained herein.
   d. If any action at law or in equity is necessary to enforce or interpret the terms of this Agreement, the prevailing party shall be entitled to reasonable attorney’s fees, costs, and necessary disbursements in addition to any other relief to which such party may be entitled.
   e. This Agreement may be amended by mutual agreement of the parties hereto and a writing to be attached to and incorporated into this Agreement.

9. **Extent of Agreement**
   This Agreement, which includes Parts I-IV, and if applicable, including the following exhibits/attachments: represents the entire and integrated agreement between the City/County and the Contractor and supersedes all prior negotiations, representations or agreements, either written or oral. This Agreement may be amended only by written instrument signed by authorized representatives of both City/County and Contractor.

IN WITNESSETH WHEREOF, the parties have executed this Agreement by causing the same to be signed on the day and year first above written.

BY: ______________________________
   (Local City/County Official)

______________________________
   (Printed Name)

______________________________
   (Title)

BY: ______________________________
   (Contractor’s Authorized Representative)

______________________________
   (Printed Name)

______________________________
   (Title)
PART II

SCOPE OF SERVICES

The Contractor shall provide the following scope of services: (choose contracted services)

A. Project Management
   1. Develop a recordkeeping system consistent with program guidelines, including the establishment of a filing system.
   2. Maintenance of filing system.
   3. Provide general advice and technical assistance to the City/County personnel on implementation of project and regulatory matters.
   4. Assist in the procurement of professional consulting engineering services through the request for proposal process, if applicable, and as required by the TxCDBG regulations.
   5. Furnish City/County with necessary forms and procedures required for implementation of project.
   6. Assist the City/County in meeting all special condition requirements that may be stipulated in the contract between the City/County and TDA.
   7. Prepare and submit to TDA documentation necessary for amending the TxCDBG contract.
   8. Conduct re-assessment of environmental clearance for any program amendments.
   9. Prepare and submit quarterly reports (progress and minority hiring).
   11. Establish procedures to document expenditures associated with local administration of the project.
   12. Provide guidance and assistance to City/County regarding acquisition of property:
       • Submit required reports concerning acquisition activities to TDA;
       • Establish a separate acquisition file for each parcel of real property acquired;
       • Determine necessary method(s) for acquiring real property;
       • Prepare correspondence to the property owners for the City/County’s signature to acquire the property or to secure an easement; and
       • Assist the City/County in negotiation with property owner(s).
   13. Maintain TxCDBG Property Management register for any property/equipment purchased or leased.
   14. Serve as liaison for the City/County during any monitoring visit by staff representatives from either TDA or the U.S. Department of Housing and Urban Development (HUD).

B. Financial Management
   1. Assist the City/County in proving its ability to manage the grant funds to the state’s audit division.
   2. Assist the City/County in establishing and maintaining a bank account (Direct Deposit account) and/or separate local bank account, journals and ledgers.
   3. Assist the City/County in submitting the required Accounting System Certification letter, Direct Deposit Authorization Form (if applicable), and/or Depository/Authorized Signatory form to TDA.
   4. Prepare all fund drawdowns on behalf of the City/County in order to ensure orderly, timely payments to all contracting parties within the allotted time period.
   5. Review invoices received for payment and file back-up documentation.
6. Provide general advice and technical assistance to City/County personnel on implementation of project and regulatory matters.
7. Assist the City/County in establishing procedures to handle the use of any TxCDBG program income.

C. Environmental Review
1. Prepare environmental assessment.
2. Coordinate environmental clearance procedures with other federal or state agencies and interested parties responsible for implementing applicable laws.
3. Document consideration of any public comments.
4. Prepare any required re-assessment of environmental assessment.
5. Prepare Request for Release of Funds and certifications to be sent to TDA.

D. Acquisition
1. Prepare required acquisition reports(s).
2. Obtain documentation of ownership for City/County-owned property and/or Right of Way (ROWs).
3. Maintain a separate file for each parcel of real property acquired.
4. Determine necessary method(s) for acquiring real property.
5. Prepare correspondence with property owners.
6. Assist City/County in negotiations with property owner(s).
7. Prepare required acquisition reports and submit to TDA.

E. Construction Management
1. Establish procedures to document expenditures associated with local construction of the project (if force account is applicable).
   • Assist City/County in determining whether and/or what TxCDBG contract activities will be carried out in whole or in part via force account labor.
   • Assist City/County in determining whether or not it will be necessary to hire temporary employees to specifically carry out TxCDBG contract activities.
   • Assist City/County in maintaining adequate documentation of personnel, equipment and materials expended/used and their costs.
2. Assist City/County in documenting compliance with all federal and state requirements related to equal employment opportunity.
3. Assist City/County in documenting compliance with all federal and state requirements related to minimum wage and overtime pay requirements.
4. Provide assistance to or act as local labor standards officer. Notify TDA in writing of name, address, and phone number of appointed labor standards compliance officer.
5. Request wage rates from TDA.
6. Provide sample TxCDBG contract documents to engineer.
7. Advertise for bids.
8. Make ten-day call to TDA.
9. Verify construction contractor eligibility with TDA.
11. Conduct pre-construction conference and prepare minutes.
12. Submit any reports of additional classification and rates to TDA.
13. Issue Notice of Start of Construction to TDA.
15. Process change orders approved by City/County and the project engineer and submit to TDA prior to execution with the construction contractor.
16. Obtain Certificate of Construction Completion/Final Wage Compliance Report and submit to TDA.
17. Provide general advice and technical assistance to City/County personnel on implementation of project and regulatory matters.
F. Fair Housing / Equal Opportunity
   1. Assist the City/County in developing, implementing and documenting new activities to affirmatively further fair housing during the contract period.
   2. Maintain documentation of all project beneficiaries by ethnicity and gender.
   3. Assist with the development and administration of the Citizen Participation Plan per 24 CFR Part 91, including grievance procedures.
   4. Assist with Section 3 requirements per 24 CFR Part 135.
   5. Prepare all Section 504 requirements per 24 CFR Part 8.
   6. Provide all applicable equal opportunity provisions and certifications for inclusion in bid packet.
   8. Ensure the adequate publication of required notices.

G. Relocation
   1. Prepare and submit local relocation guidelines to TDA for approval.
   2. Assist City/County in identifying individuals to be relocated and prepare appropriate notices.
   3. Interview relocatees and identify assistance needs.
   4. Maintain a relocation record for each individual/family.
   5. Provide education/assistance to relocatees.
   6. Inventory local available housing resources and maintain a referral list.
   7. Issue appropriate notices to relocatees.
   8. Ensure that all payments are made in a timely manner.

H. Rehabilitation of Private Property
   1. Prepare and submit local rehabilitation guidelines to TDA for approval.
   2. Assist City/County in establishing escrow account and obtaining TDA approval.
   3. Develop outreach and necessary application processing/verification forms.
   4. Screen applicants.
   5. Prepare work write-ups and cost estimates.
   6. Issue Notice to Proceed to construction contractor(s).
   7. Conduct interim/final inspections, process final contract documents, and maintain a record of beneficiaries.
   8. Maintain client files following TDA requirements.

I. Audit / Close-out Procedures
   2. Assist City/County in resolving any monitoring and audit findings.
   3. Assist City/County in resolving any third party claims.
   4. Provide auditor with TxCDBG audit guidelines.
PART III
PAYMENT SCHEDULE

City/County shall reimburse (Contractor) for management/administrative services provided for completion of the following project milestones per the following percentages of the maximum contract amount:

<table>
<thead>
<tr>
<th>Milestone / Task</th>
<th>% of Contract Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Establishment of Recordkeeping System</td>
<td>5%</td>
</tr>
<tr>
<td>Completion of Environmental/Special Conditions Clearance</td>
<td>15%</td>
</tr>
<tr>
<td>Completion of all Acquisition Activities</td>
<td>10%</td>
</tr>
<tr>
<td>Completion of the Bid/Contract Award Process</td>
<td>15%</td>
</tr>
<tr>
<td>Labor Standards Compliance/Completion of Construction</td>
<td>15%</td>
</tr>
<tr>
<td>Comply with EEO / Fair Housing Requirements</td>
<td>10%</td>
</tr>
<tr>
<td>Program and Financial Management</td>
<td>20%</td>
</tr>
<tr>
<td>Filing of all Required Close-out Information</td>
<td>10%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>100%</strong></td>
</tr>
</tbody>
</table>

**NOTE**: Percentages of payment listed here are guidelines based on management services typically provided. The payment schedule should be tied directly to the actual Scope of Work identified in Part II - Administrative Scope of Services. Localities may also opt to reimburse Professional Services Contracts on an hourly basis.
PART IV
TERMS AND CONDITIONS

1. Termination for Cause. If the Contractor fails to fulfill in a timely and proper manner its obligations under this Agreement, or if the Contractor violates any of the covenants, conditions, agreements, or stipulations of this Agreement, the City/County shall have the right to terminate this Agreement by giving written notice to the Contractor of such termination and specifying the effective date thereof, which shall be at least five days before the effective date of such termination. In the event of termination for cause, all finished or unfinished documents, data, studies, surveys, drawings, maps, models, photographs and reports prepared by the Contractor pursuant to this Agreement shall, at the option of the City/County, be turned over to the City / County and become the property of the City / County. In the event of termination for cause, the Contractor shall be entitled to receive reasonable compensation for any necessary services actually and satisfactorily performed prior to the date of termination.

Notwithstanding the above, the Contractor shall not be relieved of liability to the City/County for damages sustained by the City/County by virtue of any breach of contract by the Contractor, and the City/County may set-off the damages it incurred as a result of the Contractor’s breach of contract from any amounts it might otherwise owe the Contractor.

2. Termination for Convenience of the City/County.

City/County may at any time and for any reason terminate Contractor’s services and work at City/County's convenience upon providing written notice to the Contractor specifying the extent of termination and the effective date. Upon receipt of such notice, Contractor shall, unless the notice directs otherwise, immediately discontinue the work and placing of orders for materials, facilities and supplies in connection with the performance of this Agreement.

[Text deleted]
[Parties should include the manner by which such termination will be effected and the basis for settlement or any other terms and conditions concerning payment upon such termination.]

3. Changes. The City/County may, from time to time, request changes in the services the Contractor will perform under this Agreement. Such changes, including any increase or decrease in the amount of the Contractor's compensation, must be agreed to by all parties and finalized through a signed, written amendment to this Agreement.

4. Resolution of Program Non-Compliance and Disallowed Costs. In the event of any dispute, claim, question, or disagreement arising from or relating to this Agreement, or the breach thereof, including determination of responsibility for any costs disallowed as a result of non-compliance with federal, state or TxCDBG program requirements, the parties hereto shall use their best efforts to settle the dispute, claim, question or disagreement. To this effect, the parties shall consult and negotiate with each other in good faith within 30 days of receipt of written notice of the dispute or invitation to negotiate, and attempt to reach a just and equitable solution satisfactory to both parties. If the matter is not resolved by negotiation within 30 days of receipt of written notice or invitation to negotiate, the parties agree first to try in good faith to settle the matter by mediation administered by the American Arbitration Association under its Commercial Mediation Procedures before resorting to arbitration, litigation, or some other dispute resolution procedure. The parties may enter into a written amendment to this Agreement and choose a mediator that is not affiliated with the American Arbitration Association. The parties shall bear the costs of such mediation equally. [This section may also provide for the qualifications of the mediator(s), the locale of meetings, time...
limits, or any other item of concern to the parties.] If the matter is not resolved through such mediation within 60 days of the initiation of that procedure, either party may proceed to file suit.

5. Personnel.
   a. The Contractor represents that he/she/it has, or will secure at its own expense, all personnel required in performing the services under this Agreement. Such personnel shall not be employees of or have any contractual relationship with the City/County.
   b. All of the services required hereunder will be performed by the Contractor or under its supervision and all personnel engaged in the work shall be fully qualified and shall be authorized or permitted under State and Local law to perform such services.
   c. None of the work or services covered by this Agreement shall be subcontracted without the prior written approval of the City/County. Any work or services subcontracted hereunder shall be specified by written contract or agreement and shall be subject to each provision of this Agreement.

6. Assignability. The Contractor shall not assign any interest on this Agreement, and shall not transfer any interest in the same (whether by assignment or novation), without the prior written consent of the City/County thereto; Provided, however, that claims for money by the Contractor from the City/County under this Agreement may be assigned to a bank, trust company, or other financial institution without such approval. Written notice of any such assignment or transfer shall be furnished promptly to the City/County.

7. Reports and Information. The Contractor, at such times and in such forms as the City/County may require, shall furnish the City/County such periodic reports as it may request pertaining to the work or services undertaken pursuant to this Agreement, the costs and obligations incurred or to be incurred in connection therewith, and any other matters covered by this Agreement.

8. Records and Audits. The Contractor shall insure that the City/County maintains fiscal records and supporting documentation for all expenditures of funds made under this contract in a manner that conforms to 2 CFR 200.300-.309, 24 CFR 570.490, and this Agreement. Such records must include data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in, or beneficiaries of the funds provided under this Agreement. City/County shall retain such records, and any supporting documentation, for the greater of three years from closeout of the Agreement or the period required by other applicable laws and regulations.

9. Findings Confidential. All of the reports, information, data, etc., prepared or assembled by the Contractor under this contract are confidential and the Contractor agrees that they shall not be made available to any individual or organization without the prior written approval of the City/County.

10. Copyright. No report, maps, or other documents produced in whole or in part under this Agreement shall be the subject of an application for copyright by or on behalf of the Contractor.

11. Compliance with Local Laws. The Contractor shall comply with all applicable laws, ordinances and codes of the State and local governments, and the Contractor shall save the City/County harmless with respect to any damages arising from any tort done in performing any of the work embraced by this Agreement.
12. Conflicts of interest.
   a. Governing Body. No member of the governing body of the City/County and no other
      officer, employee, or agent of the City/County, who exercises any functions or
      responsibilities in connection with administration, construction, engineering, or
      implementation of the TxCDBG award between TDA and the City / County shall have any
      personal financial interest, direct or indirect, in the Contractor or this Agreement; and the
      Contractor shall take appropriate steps to assure compliance.

   b. Other Local Public Officials. No other public official who exercises any functions or
      responsibilities in connection with the planning and carrying out of administration,
      construction, engineering or implementation of the TxCDBG award between TDA and the
      City/County shall have any personal financial interest, direct or indirect, in the Contractor
      or this Agreement; and the Contractor shall take appropriate steps to assure compliance.

   c. Contractor and Employees. The Contractor warrants and represents that it has no conflict
      of interest associated with the TxCDBG award between TDA and the City/County or this
      Agreement. The Contractor further warrants and represents that it shall not acquire an
      interest, direct or indirect, in any geographic area that may benefit from the TxCDBG
      award between TDA and the City/County or in any business, entity, organization or person
      that may benefit from the award. The Contractor further agrees that it will not employ an
      individual with a conflict of interest as described herein.

13. Debarment and Suspension (Executive Orders 12549 and 12689). The Contractor certifies,
   by entering into this Agreement, that neither it nor its principals are presently debarred,
   suspended, or otherwise excluded from or ineligible for participation in federally-assisted
   programs under Executive Orders 12549 (1986) and 12689 (1989). The term "principal" for
   purposes of this Agreement is defined as an officer, director, owner, partner, key employee, or
   other person with primary management or supervisory responsibilities, or a person who has a
   critical influence on or substantive control over the operations of the Contractor. The
   Contractor understands that it must not make any award or permit any award (or contract) at
   any tier to any party which is debarred or suspended or is otherwise excluded from or
   ineligible for participation in Federal assistance programs under Executive Order 12549,
   “Debarment and Suspension.”

**Federal Civil Rights Compliance.**

14. Equal Opportunity Clause (applicable to federally assisted construction contracts and
    subcontracts over $10,000).

   During the performance of this contract, the Contractor agrees as follows:

   a. The Contractor will not discriminate against any employee or applicant for employment
      because of race, color, religion, sex, sexual orientation, gender identity, or national origin.
      The Contractor will take affirmative action to ensure that applicants are employed, and that
      employees are treated during employment without regard to their race, color, religion, sex,
      sexual orientation, gender identity, or national origin. Such action shall include, but not be
      limited to the following: Employment, upgrading, demotion, or transfer; recruitment or
      recruitment advertising; layoff or termination; rates of pay or other forms of compensation;
      and selection for training, including apprenticeship. The Contractor agrees to post in
      conspicuous places, available to employees and applicants for employment, notices to be
      provided setting forth the provisions of this nondiscrimination clause.
b. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive considerations for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.

c. The Contractor will not discourage or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee’s essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the contractor’s legal duty to furnish information.

d. The Contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers’ representatives of the Contractor’s commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.


f. The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

g. In the event of the Contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

h. The Contractor will include the portion of the sentence immediately preceding paragraph (a) and the provisions of paragraphs (a) through (h) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, That in the event a Contractor becomes involved in, or is threatened with,
litigation with a subcontractor or vendor as a result of such direction by the administering agency the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

15. Civil Rights Act of 1964. Under Title VI of the Civil Rights Act of 1964, no person shall, on the grounds of race, color, religion, sex, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

16. Section 109 of the Housing and Community Development Act of 1974. The Contractor shall comply with the provisions of Section 109 of the Housing and Community Development Act of 1974. No person in the United States shall on the ground of race, color, national origin, religion, or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds made available under this title.

17. Section 504 Rehabilitation Act of 1973, as amended. The Contractor agrees that no otherwise qualified individual with disabilities shall, solely by reason of his/her disability, be denied the benefits of, or be subjected to discrimination, including discrimination in employment, under any program or activity receiving federal financial assistance.

18. Age Discrimination Act of 1975. The Contractor shall comply with the Age Discrimination Act of 1975 which provides that no person in the United States shall on the basis of age be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

[If this Contract is greater than $100,000, include the following Section 3 language:]

19. Economic Opportunities for Section 3 Residents and Section 3 Business Concerns.

    a. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

    b. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.

    c. The Contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training
positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

d. The Contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The Contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.

e. The Contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the Contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.

f. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this Agreement for default, and debarment or suspension from future HUD assisted contracts.

g. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this Agreement. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this Agreement that are subject to the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).
CONFLICT OF INTEREST QUESTIONNAIRE
For vendor doing business with local governmental entity

This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session.
This questionnaire is being filed in accordance with Chapter 176, Local Government Code, by a vendor who
has a business relationship as defined by Section 176.003(1-a) with a local governmental entity and the
vendor meets requirements under Section 176.006(a).

By law this questionnaire must be filed with the records administrator of the local governmental entity not later
than the 7th business day after the date the vendor becomes aware of facts that require the statement to be
filed. See Section 176.006(a-1), Local Government Code.

A vendor commits an offense if the vendor knowingly violates Section 176.006, Local Government Code. An
offense under this section is a misdemeanor.

<table>
<thead>
<tr>
<th><strong>1</strong></th>
<th>Name of vendor who has a business relationship with local governmental entity.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>2</strong></td>
<td>Check this box if you are filing an update to a previously filed questionnaire. (The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date on which you became aware that the originally filed questionnaire was incomplete or inaccurate.)</td>
</tr>
<tr>
<td><strong>3</strong></td>
<td>Name of local government officer about whom the information is being disclosed.</td>
</tr>
<tr>
<td></td>
<td>Name of Officer</td>
</tr>
<tr>
<td><strong>4</strong></td>
<td>Describe each employment or other business relationship with the local government officer, or a family member of the officer, as described by Section 176.003(a)(2)(A). Also describe any family relationship with the local government officer. Complete subparts A and B for each employment or business relationship described. Attach additional pages to this Form CIQ as necessary.</td>
</tr>
<tr>
<td></td>
<td>A. Is the local government officer or a family member of the officer receiving or likely to receive taxable income, other than investment income, from the vendor?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td></td>
<td>B. Is the vendor receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer or a family member of the officer AND the taxable income is not received from the local governmental entity?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td><strong>5</strong></td>
<td>Describe each employment or business relationship that the vendor named in Section 1 maintains with a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership interest of one percent or more.</td>
</tr>
<tr>
<td><strong>6</strong></td>
<td>Check this box if the vendor has given the local government officer or a family member of the officer one or more gifts as described in Section 176.003(a)(2)(B), excluding gifts described in Section 176.003(a-1).</td>
</tr>
<tr>
<td><strong>7</strong></td>
<td>Signature of vendor doing business with the governmental entity</td>
</tr>
</tbody>
</table>
CONFLICT OF INTEREST QUESTIONNAIRE
For vendor doing business with local governmental entity

A complete copy of Chapter 176 of the Local Government Code may be found at http://www.statutes.legis.state.tx.us/Docs/LG/htm/LG.176.htm. For easy reference, below are some of the sections cited on this form.

Local Government Code § 176.001(1-a); "Business relationship" means a connection between two or more parties based on commercial activity of one of the parties. The term does not include a connection based on:

(A) a transaction that is subject to rate or fee regulation by a federal, state, or local governmental entity or an agency of a federal, state, or local governmental entity;

(B) a transaction conducted at a price and subject to terms available to the public; or

(C) a purchase or lease of goods or services from a person that is chartered by a state or federal agency and that is subject to regular examination by, and reporting to, that agency.

Local Government Code § 176.003(a)(2)(A) and (B):

(a) A local government officer shall file a conflicts disclosure statement with respect to a vendor if:

***

(2) the vendor:

(A) has an employment or other business relationship with the local government officer or a family member of the officer that results in the officer or family member receiving taxable income, other than investment income, that exceeds $2,500 during the 12-month period preceding the date that the officer becomes aware that

(i) a contract between the local governmental entity and vendor has been executed; or

(ii) the local governmental entity is considering entering into a contract with the vendor;

(B) has given to the local government officer or a family member of the officer one or more gifts that have an aggregate value of more than $100 in the 12-month period preceding the date the officer becomes aware that:

(i) a contract between the local governmental entity and vendor has been executed; or

(ii) the local governmental entity is considering entering into a contract with the vendor.

Local Government Code § 176.006(a) and (a-1)

(a) A vendor shall file a completed conflict of interest questionnaire if the vendor has a business relationship with a local governmental entity and:

(1) has an employment or other business relationship with a local government officer of that local governmental entity, or a family member of the officer, described by Section 176.003(a)(2)(A);

(2) has given to a local government officer of that local governmental entity, or a family member of the officer, one or more gifts with the aggregate value specified by Section 176.003(a)(2)(B), excluding any gift described by Section 176.003(a-1); or

(3) has a family relationship with a local government officer of that local governmental entity.

(a-1) The completed conflict of interest questionnaire must be filed with the appropriate records administrator not later than the seventh business day after the later of:

(1) the date that the vendor:

(A) begins discussions or negotiations to enter into a contract with the local governmental entity; or

(B) submits to the local governmental entity an application, response to a request for proposals or bids, correspondence, or another writing related to a potential contract with the local governmental entity; or

(2) the date the vendor becomes aware:

(A) of an employment or other business relationship with a local government officer, or a family member of the officer, described by Subsection (a);

(B) that the vendor has given one or more gifts described by Subsection (a); or

(C) of a family relationship with a local government officer.
**Sample 1 – Administration/Professional Services Rating Sheet**

Grant Recipient ___________________________  TxCDBG Contract No. ___________________________

Name of Respondent ___________________________  Date of Rating ___________________________

Evaluator’s Name ___________________________

**Experience – Rate the Respondent of the Request For Proposal (RFP) by awarding points up to the maximum listed for each factor. Information necessary to assess the Respondent on these criteria may be gathered either from past experience with the Respondent and/or by contacting past/current clients of the Respondent.**

<table>
<thead>
<tr>
<th>Experience Factors</th>
<th>Max.Pts.</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Related Experience / Background with federally funded projects</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>2. Related Experience / Background with specific project type (housing rehabilitation, acquisition of property, coordination with regulatory agency, etc.)</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>4. Certified Administrator of TxCDBG Program by TDA</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>5. References from current/past clients</td>
<td>10</td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal, Experience**

30

<table>
<thead>
<tr>
<th>Work Performance Factors</th>
<th>Max.Pts.</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Submits requests to client/TDA in a timely manner</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>2. Responds to client/TDA requests in a timely manner</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>3. Past client/TDA projects completed on schedule</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>4. Work product is consistently of high quality with low level of errors</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>5. Past client/TDA projects have low level of monitoring findings/concerns</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>6. Manages projects within budgetary constraints</td>
<td>5</td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal, Performance**

30

<table>
<thead>
<tr>
<th>Capacity to Perform Factors</th>
<th>Max.Pts.</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Qualifications of Professional Administrators / Experience of Staff</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>2. Present and Projected Workloads</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>3. Quality of Proposal/Work Plan</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>4. Demonstrated understanding of scope of the TxCDBG Project</td>
<td>5</td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal, Capacity to Perform**

20

<table>
<thead>
<tr>
<th>Proposed Cost Factors</th>
<th>Max.Pts.</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>A = Lowest Proposal $</td>
<td></td>
<td></td>
</tr>
<tr>
<td>B = Bidder's Proposal $</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

\[ A + B \times 20 \] equals Respondent’s Score 20

**TOTAL SCORE**

<table>
<thead>
<tr>
<th>Factors</th>
<th>Max.Pts.</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Experience</td>
<td>30</td>
<td></td>
</tr>
<tr>
<td>Work Performance</td>
<td>30</td>
<td></td>
</tr>
<tr>
<td>Capacity to Perform</td>
<td>20</td>
<td></td>
</tr>
<tr>
<td>Proposed Cost</td>
<td>20</td>
<td></td>
</tr>
</tbody>
</table>

Total Score 100
Sample 2 – Administration/Professional Services Rating Sheet

Grant Recipient ___________________________ TxCDBG Contract No. _______________________
Name of Respondent _________________________ Date of Rating _____________________________
Evaluator’s Name ___________________________

**Experience** -- Rate the respondent for experience in the following areas:

<table>
<thead>
<tr>
<th>Factor</th>
<th>Max.Pts.</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Federal Procurement Procedures</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>2. Environmental Reviews</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>3. Fair Housing/EEO</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>4. Financial Management</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>5. Uniform Act Acquisition/Relocation</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>6. Project Construction Management</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>7. Labor Standards Requirements</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>8. Audit/Contract Close-out</td>
<td>5</td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal, Experience** 40

**Work Performance**

<table>
<thead>
<tr>
<th>Factor</th>
<th>Max.Pts.</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Submits requests to Client/TDA in a timely manner</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>2. Responds to Client/TDA requests in a timely manner</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>3. Past Client/TDA projects completed on schedule</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>4. Work product is consistently of high quality with low level of errors</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>5. Past Client/TDA projects have low level of monitoring findings/concerns and offeror responds to monitoring reports in a timely manner</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>6. Manages projects within budgetary constraints</td>
<td>5</td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal, Performance** 30

**NOTE:** Information necessary to assess the respondent on these criteria should be gathered by contacting past/current clients.

**Capacity to Perform**

<table>
<thead>
<tr>
<th>Factor</th>
<th>Max.Pts.</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Staffing Level / Experience of Staff</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>2. Adequacy of Resources</td>
<td>5</td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal, Capacity to Perform** 10

**Proposed Cost**

<table>
<thead>
<tr>
<th>Factor</th>
<th>Max.Pts.</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>A = Lowest Proposal</td>
<td>$________</td>
<td></td>
</tr>
<tr>
<td>B = Bidder's Proposal</td>
<td>$________</td>
<td></td>
</tr>
</tbody>
</table>

\[ \frac{A}{B} \times 20 \text{ equals Respondent's Score} \]

**Proposed Cost Score** 20

**TOTAL SCORE**

<table>
<thead>
<tr>
<th>Factor</th>
<th>Max.Pts.</th>
<th>Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Experience</td>
<td>40</td>
<td></td>
</tr>
<tr>
<td>Work Performance</td>
<td>30</td>
<td></td>
</tr>
<tr>
<td>Capacity to Perform</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>Proposed Cost</td>
<td>20</td>
<td></td>
</tr>
</tbody>
</table>

**Total Score** 100